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## The pathogenetic role of HLA-B27 and its subtypes

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### Abstract

The strong association between HLA-B27 and ankylosing spondylitis has been known for 33 years, but the enigma of the pathogenetic role of the gene and its product has not yet been solved. Ongoing studies have produced evidence supporting different theories to explain this association, and structural and functional studies of HLA-B27 allele products at molecular level have provided information of broad and multidisciplinary value and disclosed new avenues leading to autoimmunity and immune dysregulation.

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**Keywords:** Animal models; Ankylosing spondylitis; HLA-B27; Pathogenesis

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The remarkably strong association of ankylosing spondylitis (AS) with HLA-B27 was first described in 1973. Since then, a tremendous amount of literature has been produced, yet the issue remains as puzzling as ever.

The epidemiological studies have shown that this association holds true almost worldwide, and that AS prevalence in general mirrors the HLA-B27 distribution, with peaks in those populations with highest prevalence of HLA-B27, and the disease is very rare in sub-saharian African populations where HLA-B27 is virtually absent [1].

HLA-B27 is directly involved in AS but it seems to contribute only about 16% of the total genetic risk in this

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disease [2]. There are non-B27 genes, both within and outside the major histocompatibility complex (MHC), that also seem to be involved in disease etiology. This aspect has been reviewed elsewhere [3–7].

A direct role for HLA-B27 molecules in disease pathogenesis has also emerged from animal models showing that rats transgenic for HLA-B27 develop an inflammatory arthritis reminiscent of HLA-B27 associated diseases [8,9].

The crystallographic structure of HLA-B27, solved by Madden et al. [10], has shown that this molecule is, as expected, heterodimeric, composed of the HLA-B alpha (heavy) chain (encoded in the MHC Class I region on the short arm of chromosome 6) non-covalently linked with beta 2-microglobulin ( $\beta 2m$ ). HLA-B27 has a peptide-binding cleft (formed by the alpha 1 and alpha 2 domains of the alpha chain) that has six side-pockets (designated by the letters A through F) that accommodate the side chains of the amino acids of the bound nonamer peptides. HLA-B27 binds and presents peptides to CD8<sup>+</sup> T cells with high efficiency and is associated with comparatively better protection from viral diseases, such as influenza or AIDS [11,12], than other HLA alleles. Therefore, it seemed logical to concentrate in the antigen presenting properties of HLA-B27 in order to understand its possible role in disease pathogenesis.

## 1. Molecular mimicry hypothesis

Molecular mimicry between foreign and self-peptide has been proposed as the cause that could unleash a cytotoxic T cell response leading to the autoimmune destruction of self-tissues [13]. A number of bacterial species have been evoked as a source of this cross-reactivity, and “arthritogenic” peptides and bacterial-specific as well as self-reactive HLA-B27-restricted cytotoxic T lymphocytes have been described in patients with reactive arthritis (ReA) and AS [14]. HLA-B27 is not a single allele but a family of at least 31 different alleles, named HLA-B\*2701 to HLA-B\*2728 (Table 1), that may have evolved from the most widespread subtype, B\*2705 [15,16]. These subtypes, whose frequency in the worldwide population is extremely variable (Table 2), differ from each other by one or a few amino acids, and they bind overlapping peptide repertoires [17]. Worldwide population-based studies indicate that, among the widely distributed subtypes, HLA-B\*2702, B\*2704, and B\*2705 are strongly associated with AS [16–18].

A strong support to the molecular mimicry theory has been the finding that at least two alleles, HLA-B\*2706, quite common among B27 positive individuals in

Table 1

Currently identified HLA-B27 subtypes (Ref. [17], modified)		
B*2701 <sup>a</sup>		B*2712 t1.3
B*2702		B*2713 t1.4
B*270 <sup>b</sup>		B*2714 <sup>a</sup> t1.5
B*2704		B*2715 t1.6
B*2705	B*270502	B*2716 t1.7
	B*270503	B*2717 t1.8
	B*270504	B*2718 t1.9
	B*270505	B*2719 <sup>a</sup> t1.10
	B*270506	B*2720 t1.11
	B*270507	B*2721 t1.12
B*2706 <sup>c</sup>		<b>B*2723</b> → B**2723 t1.13
B*2707 <sup>c</sup>		B*2724 t1.14
B*2708 <sup>d</sup>		B*2725 t1.15
B*2709 <sup>c</sup>		B*2726 t1.16
B*2710 <sup>a</sup>	<b>B7*02, 04,05, 07 ve 08 should be ty</b>	B*2727 t1.17
B*2711		B*2728 t1.18

Note that HLA-B\*2722 is not listed as this designation has been withdrawn when subsequent studies showed its identity to HLA-B\*2706.

The B27 alleles shown in bold type are associated with AS.

<sup>a</sup> The disease occurrence in at least one patient with these alleles was shown, but needs association studies.

<sup>b</sup> Disease association is controversial.

<sup>c</sup> Suggested to be disease associated; but not in Greek Cypriots.

<sup>d</sup> Reported to be disease associated, but not in Venezuela.

<sup>e</sup> These alleles seem not to have an association with the disease.

Southeast Asia and HLA-B\*2709, primarily observed in Sardinia, an Italian island, lack such an association with AS [18,19]. The reason for the differential disease association is not understood. This may be due to the absence of additional susceptibility genes co-inherited with B\*2709 or B\*2706, and/or to different antigen binding properties of these two subtypes [20,21]. B\*2705 differs from B\*2709 only in residue 116 of the heavy chain (Asp in B\*2705 and His in B\*2709) (D116H), and B\*2704 differs from B\*2706 by amino acid changes at only two residues, 114 and 116 of the heavy chain [21,22]. Both these residues are known to influence peptide specificity and T cell recognition as they are located at the floor of the peptide-binding groove, forming part of the F-pocket, and they get buried as a result of binding of a peptide. The differences at residues 114 and 116 result in differential binding of antigenic peptides; B\*2705 shares 79% of its peptide repertoire with B\*2709 and there is 88% repertoire sharing between B\*2704 and B\*2706 [21,22]. In the frame of the “arthritogenic” peptide hypothesis, B\*2705-positive patients with AS possess precursor T cells specific for a well-defined self-peptide pVIPR (from the vaso-active intestinal peptide receptor 1), encoded by the VPAC1 gene mapping on chromosome 3, whereas B\*2709-positive individuals lack such a

t2.1 Table 2  
 t2.2 HLA-B27 subtype frequencies (%) among individuals possessing HLA-B27 in different world populations (Ref. [17], modified)

t2.3 Populations	*2701	*2702	*2703	*2704	*2705	*2706	*2707	*2708	*2709	*2711	*2713	*2714
t2.4 Northern Europe		10			90							
t2.5 Denmark		10			90							
t2.6 Southern Europe		20			80							
t2.7 Spain (Galicia)		18			80			3				
t2.8 Northern Spain		7			91		1				1	
t2.9 Azores			7		86		7					
t2.10 Italy		30			65		2		3			
t2.11 Sardinia		3			77				20			
t2.12 Greece		34	8		50		8					
t2.13 Cyprus (Greeks)		52			32		17					
t2.14 Turkey	7	30			43		14	5				
t2.15 Lebanon		24	12		35		30					
t2.16 Jewish		48		3	38		13					
t2.17 Siberia		14			84							2
t2.18 Northern India				33	61		6					
t2.19 Western India				34	34		18	12				2
t2.20 Japan				82	18							
t2.21 Chinese		2		66	31		2					
t2.22 Singapore (Chinese)				89	2	9						
t2.23 Taiwan	0.05	0.5	3	87	4	7	2	0.02		0.02		
t2.24 Taiwan (Han-Chinese)				94	6							
t2.25 Taiwan (Aborigines)				100								
t2.26 Chinese Indonesian				38		62						
t2.27 Native Indonesians				6	6	89						
t2.28 Malays				19	6	72	3					
t2.29 Thailand				42	5	53						
t2.30 Maoris				36	64							
t2.31 Brazil		10	6		80		3					
t2.32 North Africa		50			50							<1
t2.33 West Africans			32		68							

t2.34 The numbers are rounded off for simplicity and indicate percentages in healthy controls. Only the first 14 subtypes of HLA-B27 are shown; the other subtypes are much more rare.

118 reactivity [23]. X-ray crystallography has then shown  
 119 that the B\*2705 binds the pVIPR peptide in two dis-  
 120 tinct conformations, whereas B\*2709 presents the same  
 121 peptide in only one of the two conformations [23]. This  
 122 suggests that this dual conformation of the peptide  
 123 bound to B\*2705 could be responsible for a less effi-  
 124 cient negative selection (during ontogenesis in the thy-  
 125 mus) in B\*2705 positive individuals [23].

126 This hypothesis was further supported by the finding  
 127 that a viral peptide pLMP2 (derived from latent mem-  
 128 brane protein 2 (residues 236–244) of Epstein–Barr  
 129 virus) is presented by the B\*2705 and B\*2709 mole-  
 130 cules in two drastically deviating conformations [24].  
 131 Interestingly, pLMP2, when bound to B\*2705, displays  
 132 a conformation very similar to that unique to the B\*  
 133 2705/pVIPR [23,24]. Thus molecular mimicry between  
 134 a viral and a self-peptide could trigger a cross-reactive  
 135 cytotoxic T cell response that activates those clonotypes  
 136 which have not been eliminated during ontogenesis in  
 137 the thymus (Fig. 1) [23,24].

138 Interestingly, another self-peptide, pGR, that is de- 138  
 139 rived from glucagon receptor and shares homology 139  
 140 with pVIPR and pLMP2, also displays a dual con- 140  
 141 formation (conformational dimorphism) when bound 141  
 142 to B\*2705 [25]. Therefore, there seems to be an array 142  
 143 of peptides that share this property of conformational 143  
 144 dimorphism, and they might unleash a potentially 144  
 145 dangerous autoimmune response. Moreover, the con- 145  
 146 served structural features of these peptides suggest that 146  
 147 their N-terminal halves are crucial for the emergence 147  
 148 of cytotoxic T lymphocytes and resultant autoimmu- 148  
 149 nity [25]. Recent studies have also provided additional 149  
 150 explanations for the differential disease association 150  
 151 between B\*2705 and B\*2709. For example, an in- 151  
 152 creased flexibility of the peptide in the binding groove 152  
 153 of B\*2709 due to weaker interactions in the F pocket, 153  
 154 might impact T cell recognition and signaling [26]. 154  
 155 Molecular dynamics simulations show increased 155  
 156 flexibility of a model peptide GRFAAAIAK in the 156  
 157 groove of the B\*2709 but not of B\*2705, despite the 157

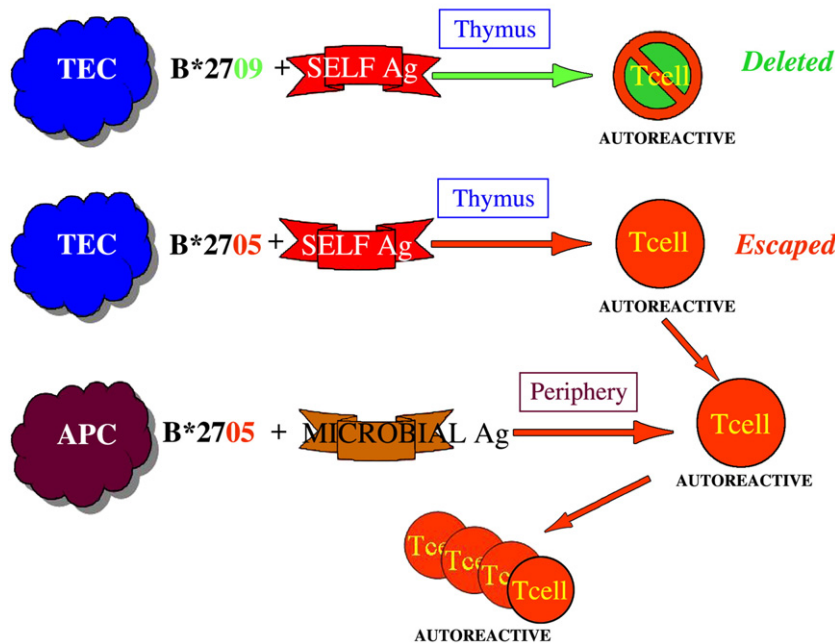


Fig. 1. Illustration of the hypothesis for the pathogenic role of HLA-B\*2705 molecules in the development of ankylosing spondylitis [Refs. 21,24]. The “canonical” or “non canonical” conformation of a self-peptide presented by B\*2709 or B\*2705 molecules, may be critical in determining respectively deletion or escape from negative selection of autoreactive T cells in the thymus. Persistence of autoreactive T cells in the adult in B\*2705 positive subjects may lead to cross-reaction with a homologous microbial antigen. Ag: antigen; APC: antigen presenting cell; TEC: thymic epithelial cell.

158 essentially identical crystallographic conformation of  
159 these two subtypes.

160 Presentation by HLA-B27 of a peptide derived from  
161 its own molecule (from a region that is not polymorphic  
162 among HLA-B molecules) has been reported [27]. This  
163 peptide, B27-(309–320), has a strong homology to  
164 proteins from *Chlamydia trachomatis* and other arthri-  
165 togenic bacteria, and is a natural ligand of 3 AS-asso-  
166 ciated subtypes, B\*2705, B\*2702, and B\*2704, but not  
167 of the 2 subtypes, B\*2706 and B\*2709, not associated  
168 with this disease [27].

169 These studies have brought new information on how  
170 structural mimicry can lead to auto-reactivity in HLA-  
171 B\*2705 positive individuals. However they do not ex-  
172 plain the tissue specificity observed in the HLA-B27  
173 associated diseases. Recently, HLA-B27-restricted epi-  
174 topes derived from human aggrecan have been de-  
175 scribed [28,29]. It has been suggested that cartilage-  
176 directed cellular autoimmunity might play an important  
177 role in joint-specific tissue damage in patients with AS  
178 [29].

179 A characteristic finding in AS is inflammation of  
180 cartilage structures of the joints, and in particular at  
181 the sites of attachment of ligaments/tendons to bone  
182 (entheses), which are quite characteristic targets of

183 inflammation (enthesitis) in AS. Aggrecan is present in  
184 such fibro-cartilaginous regions and is an interesting  
185 candidate for a potential target of autoimmunity. How-  
186 ever, its role in the pathogenesis of AS is still uncertain  
187 and its HLA-B27 subtype-specificity has not been  
188 explored.

## 2. Other pathogenetic hypotheses 189

190 There is also a growing interest in biological  
191 mechanisms other than those centered largely on the  
192 physiological peptide-presenting function of HLA-B27,  
193 and include ideas based on aberrant aspects of its  
194 immunobiology [30]. HLA-B27 heavy chain is unusual  
195 in that it has a tendency to misfold in the endoplasmic  
196 reticulum and to form disulfide linked heavy chain  
197 homodimers that can be expressed on the cell surface  
198 [30]. It has been hypothesized that HLA-B27 contrib-  
199 utes to AS through an excess of misfolding, formation  
200 of heavy chain dimers, and interaction with receptors of  
201 innate immunity [31].

202 In a rat model of sp (unfolded protein response) should bon  
203 of the UPR in ma to  
204 correlate with the inflammatory process [32]. The pro-  
205 tein misfolding can be due to an excess of unpaired

206 HLA-B27, such as in case of an unbalance of  $\beta_2m$  and  
207 HLA-B27. This seems to correlate with the observation  
208 that, to develop the disease, a high level of expression of  
209 the human B27 transgene is required in rats [33]. In  
210 agreement with this observation, an increased expres-  
211 sion of B27 molecule has been found on peripheral  
212 blood mononuclear cells of AS patients [34]. However,  
213 a new B27-transgenic rat model for spondyloarthritis  
214 has just been reported that does not support a role for  
215 B27 misfolding and resultant activation of the UPR in  
216 spondylitis [9]. These investigators speculate that gut  
217 inflammation (that occurs in many AS patients), on the  
218 other hand, may result from B27-misfolding and trig-  
219 gering of the UPR leading to the unusual intracellular  
220 persistence of gut bacteria [9].

221 The presence of non-conventional HLA-B27 homo-  
222 dimers on cell surface has also been evoked as a possible  
223 trigger of pathogenic immune responses [35]. MHC  
224 restriction indicates that CD8+ T cells conventionally  
225 interact with MHC class I molecules and CD4+ T cells  
226 interact with MHC class II molecules. However, CD4+ T  
227 cells have been isolated from 3 HLA-B27 positive  
228 patients with AS that interact with HLA-B27, an MHC  
229 class I molecule, but are not present in B27 positive  
230 healthy individuals, thus breaking the conventional rules  
231 of MHC restriction [36]. These T cells recognized an  
232 unconventional form of HLA-B27 in that some CD4+ T  
233 cells appear to recognize unfolded B27 heavy chain  
234 homodimers, while others recognize empty heterodi-  
235 mers. Therefore these authors speculate that the CD4+ T  
236 cells may be reacting to non-conventional forms of  
237 HLA-B27, specifically B27 heavy chain homodimers  
238 that might mimic MHC class II molecules and are  
239 recognized by CD4+ T cells. It is possible that continual  
240 interaction between the two could trigger off T cell  
241 effector functions that may initiate the disease process.

242 Another hypothesis has proposed that a helix-coil  
243 transition in a key region of the  $\beta_2m$ -free, peptide-free  
244 B27 heavy chain predisposes this portion of the mole-  
245 cule to loop around [37]. This allows residues 168–181  
246 to bind as a ligand to the sequence of the empty peptide-  
247 binding cleft, resulting in auto-displaying (within or  
248 between B27 molecules) and appearing as a foreign  
249 peptide to T cells [37]. Uchanska-Ziegler and Ziegler  
250 [38] have proposed that AS is a  $\beta_2m$ -deposition disease,  
251 and suggest that B27 subtypes associated or not asso-  
252 ciated with AS differ for their rate of  $\beta_2m$ -dissociation  
253 from peptide-complexed B27 molecules expressed on  
254 the cell membrane.  $\beta_2m$  would be trapped in the syno-  
255 vial where it would form amyloid substance able to  
256 induce a local inflammation; the subsequent hyperex-  
257 pression of the HLA class I molecule by resident and

infiltrating cells might allow the perpetuation of  $\beta_2m$  258  
deposition and of the inflammatory process. 259

260 Natural Killer (NK) cells possess receptors on their  
261 surface which interact with HLA-B27. In the HLA-B27  
262 rat transgenic model, homodimers of heavy chains are  
263 ligands for immunoglobulin-like receptors expressed by  
264 lymphocytes, monocytes and dendritic cells [31] sug-  
265 gesting that the unusual property of HLA-B27 mole-  
266 cules of forming homodimers may orchestrate an  
267 inflammatory response activating different cells.

268 A further hypothesis proposes that HLA-B27 may  
269 modify microbial handling and impair immunity result-  
270 ing in defective immune response [39]. The ability of  
271 HLA-B27 to confer susceptibility to *Salmonella*-trig-  
272 gered reactive arthritis may occur, at least in part, through  
273 these modulatory effects that result in an impaired  
274 capacity of monocytes to resist intracellular replication  
275 of *Salmonella enteritidis*. This effect may be due to  
276 properties of the HLA-B27 heavy chain that are de-  
277 pendent on glutamic acid at position 45 in the B pocket  
278 [39]. In addition to this, an impaired immune response to  
279 bacteria may be also due to a reduced ability by B27-  
280 positive AS patients to express TNF $\alpha$  [40].

### 281 3. Concluding remarks

282 The precise biological explanation for the remarkable  
283 association between HLA-B27 and AS, after more than  
284 30 years of intense studies still remains elusive. Al-  
285 though, exploiting the technical advancements of the  
286 last few decades, more and more sophisticated ap-  
287 proaches have been undertaken, no definitive results are  
288 as yet available. The more conservative hypothesis  
289 postulates that presentation of “arthritogenic” peptides  
290 shared by microbial- and self-epitopes could be respon-  
291 sible for the autoimmune cascade leading to AS. Such a  
292 hypothesis has found strong support from the findings  
293 that some HLA-B27 subtypes do not associate with AS  
294 and that this correlates with functional differences be-  
295 tween these B27 molecules.

296 The focus of research in the past few years has shifted  
297 away from the one centered largely on the physiological  
298 peptide-presenting function of HLA-B27 to include  
299 ideas based on aberrant aspects of its immunobiology. A  
300 number of special features of the HLA-B27 molecules  
301 have been reported that could be connected with the  
302 inflammatory cascade and autoimmunity. These include:  
303 expression of free heavy chains on cell surface (that can  
304 result in heavy chain homodimer formation, increased  
305 misfolding of the heavy chains inside the endoplasmic  
306 reticulum with resultant stress response, the possibility  
307 of an exposure of self-epitope by altered HLA-B27

308 molecules on the cell surface, and the recent finding of  
 309 CD4<sup>+</sup> T cell reactivity. These CD4<sup>+</sup> T cells may be  
 310 reacting to non-conventional forms of HLA-B27,  
 311 specifically B27 heavy chain homodimers that might  
 312 mimic MHC class II molecules and are thus recognized  
 313 by CD4<sup>+</sup> T cells. The free heavy chain homodimers can  
 314 also be bound by Ig-like receptors exposed on the surface  
 315 of cells of the innate immunity. There is increasing  
 316 interest lately on potential pathological role of innate  
 317 immunity in AS and related spondyloarthropathies.

318 In conclusion, the conundrum of this remarkable  
 319 association of HLA-B27 with AS, that was first re-  
 320 ported 33 years ago, still remains as puzzling as ever  
 321 and it continues to challenge our minds. Meanwhile,  
 322 valuable information has been uncovered that has dis-  
 323 closed new avenues leading to autoimmunity and im-  
 324 mune dysregulation.

### 325 Take-home messages

- 326 • World AS prevalence in general mirrors HLA-B27  
 327 distribution.
- 328 • HLA-B27 is not a single allele but a family of at least  
 329 31 different alleles, named HLA-B\*2701 to HLA-B\*  
 330 2728.
- 331 • Worldwide population-based studies indicate that,  
 332 among the widely distributed subtypes, HLA-B\*2702,  
 333 B\*2704, and B\*2705 are strongly associated with AS.
- 334 • At least two alleles, HLA-B\*2706 common among in  
 335 Southeast Asia and HLA-B\*2709 primarily observed  
 336 in Sardinia, lack such an association with AS.
- 337 • There are non-B27 genes, both within and outside the  
 338 major histocompatibility complex (MHC), that also  
 339 seem to be involved in disease etiology.

340

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